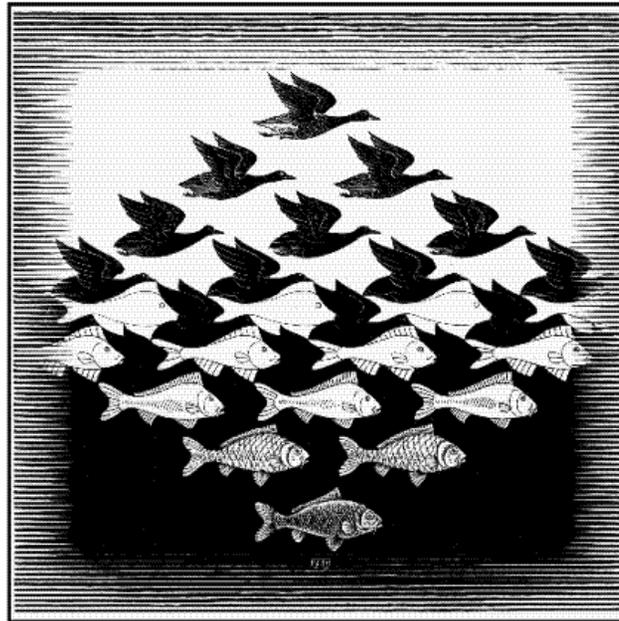


# Weather-(Climate?) Data Assimilation

...can we get there from here?



"Sky and Water I",  
M.C. Escher

Ron Gelaro et al.

*NASA Global Modeling and Assimilation Office*

MAP Meeting 7-9 March 2007

# Data Assimilation Systems at the GMAO

## ...not quite seamless

### ❑ Atmosphere:

Variational (3D-, 4D-VAR); GEOS-5/GSI,  $0.5^\circ$  resolution;  
6-hr assimilation window

### ❑ Ocean:

Ensemble Kalman Filter (EnKF); Poseidon,  $0.67^\circ \times 0.33^\circ$  resol;  
5-day assimilation window

### ❑ Atmos-Ocean Coupled:

Optimal Interpolation (OI); GEOS-5/Poseidon,  $2.0^\circ / 0.67^\circ \times 0.33^\circ$   
5-day assimilation window

### ❑ Land Surface:

EnKF; Catchment Model,  $O(10^1)$  members,  $0.5^\circ$  resolution;  
3-hr assimilation window



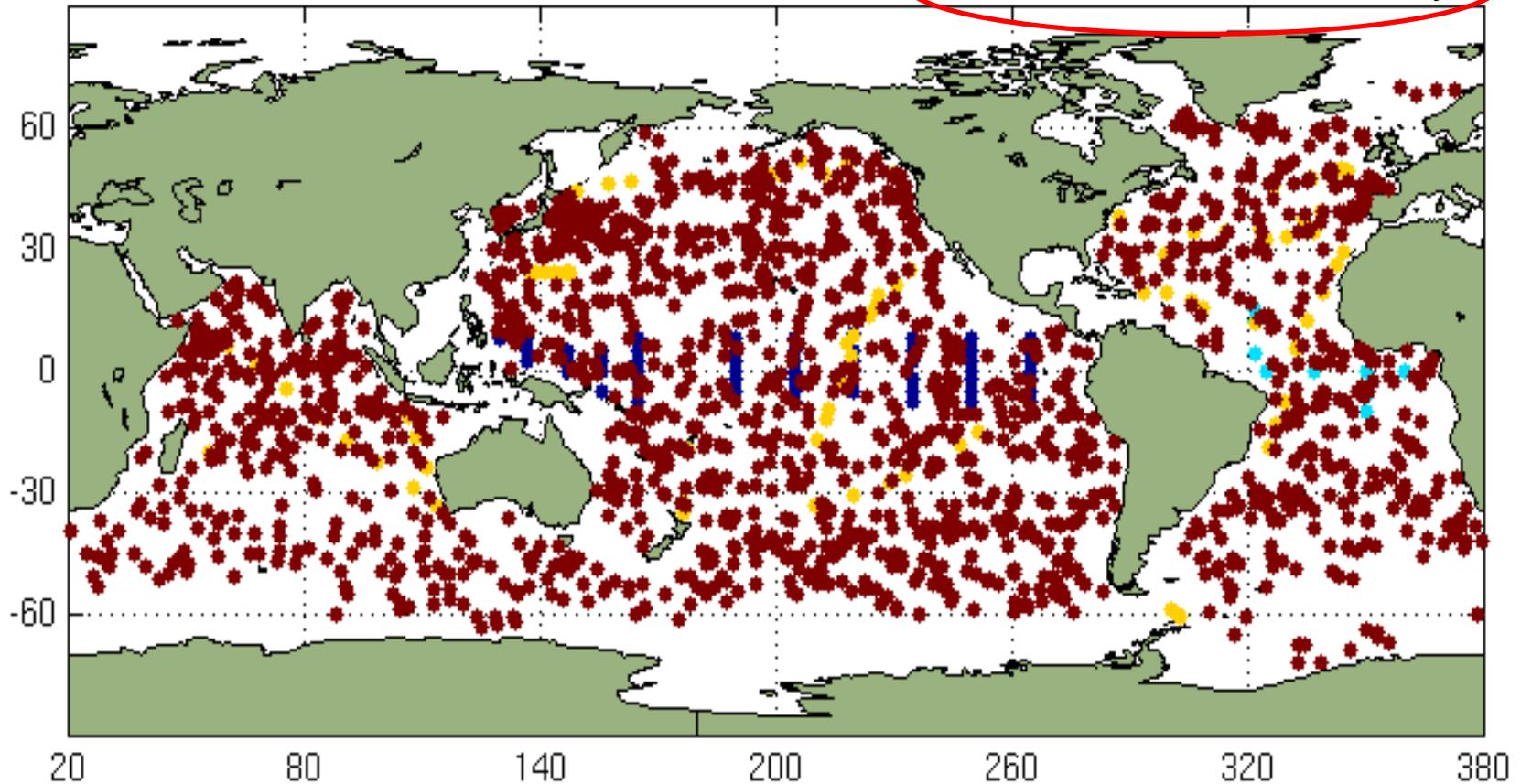
## WCRP “Seamless prediction”

...climate models are being run with the highest possible resolutions, resolutions that were employed in the best weather forecast models only a few years ago. There is also increasing emphasis on traceability, the ability to relate the structure, parameterizations and performance of models used on different time-scales. **Even though the prediction problem itself is seamless, the best practical approach to it may be described as unified: models aimed at different time-scales and phenomena may have large commonality but place emphasis on different aspects of the system.**

# The oceanic observing system...temp

GMAO EnKF 02-Jan-2006 00UTC

Used: 36,893 observations / 8 days



Data Types

101



Sub-surface temperature

1.8

3.7

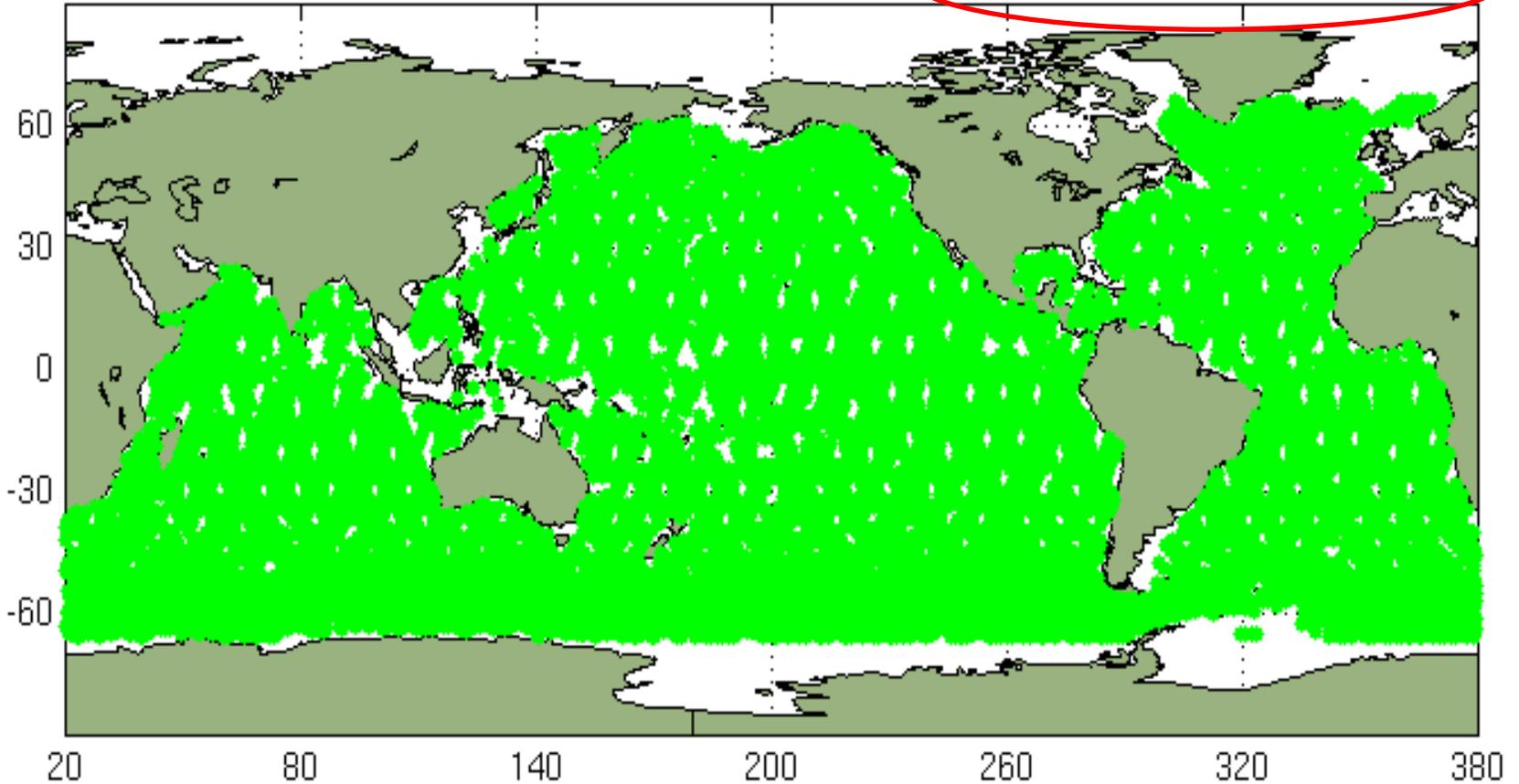
(x 10,000)

Courtesy R. Kovach, GMAO

# The oceanic observing system...sea surface height

GMAO EnKF 03-Jan-2006 00UTC

Used: 10,253 observations / 8 days



Data Types

103



Sea surface height anomaly

0.51

1.0

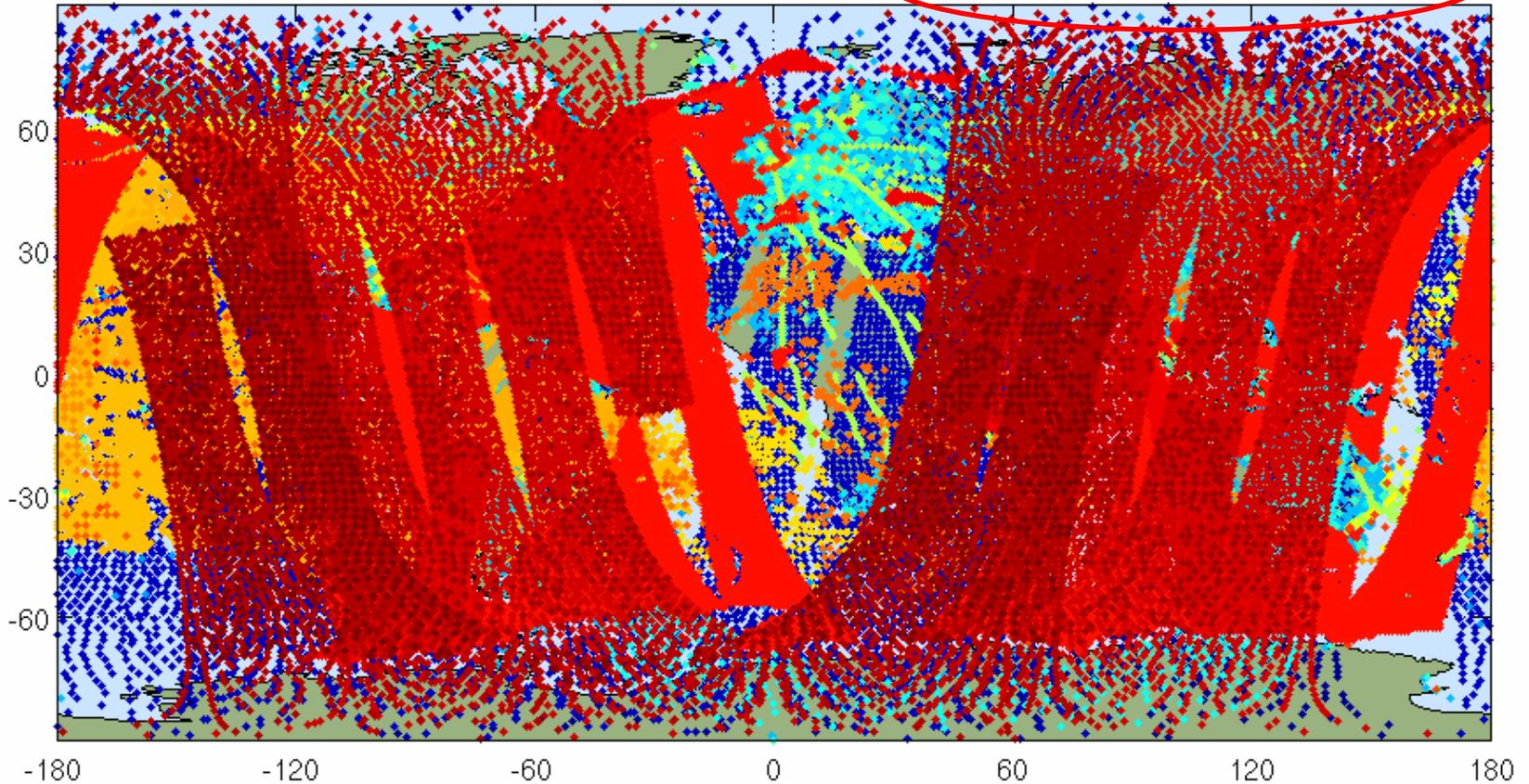
(x 10,000)

*Courtesy R. Kovach, GMAO*

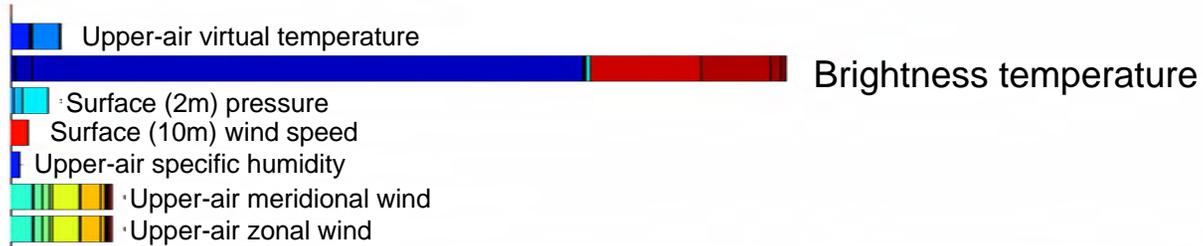
# The atmospheric observing system

GMAO GSI 16-Jan-2003 00UTC

Used: 1,178,200 observations / 6 hrs



Data Types



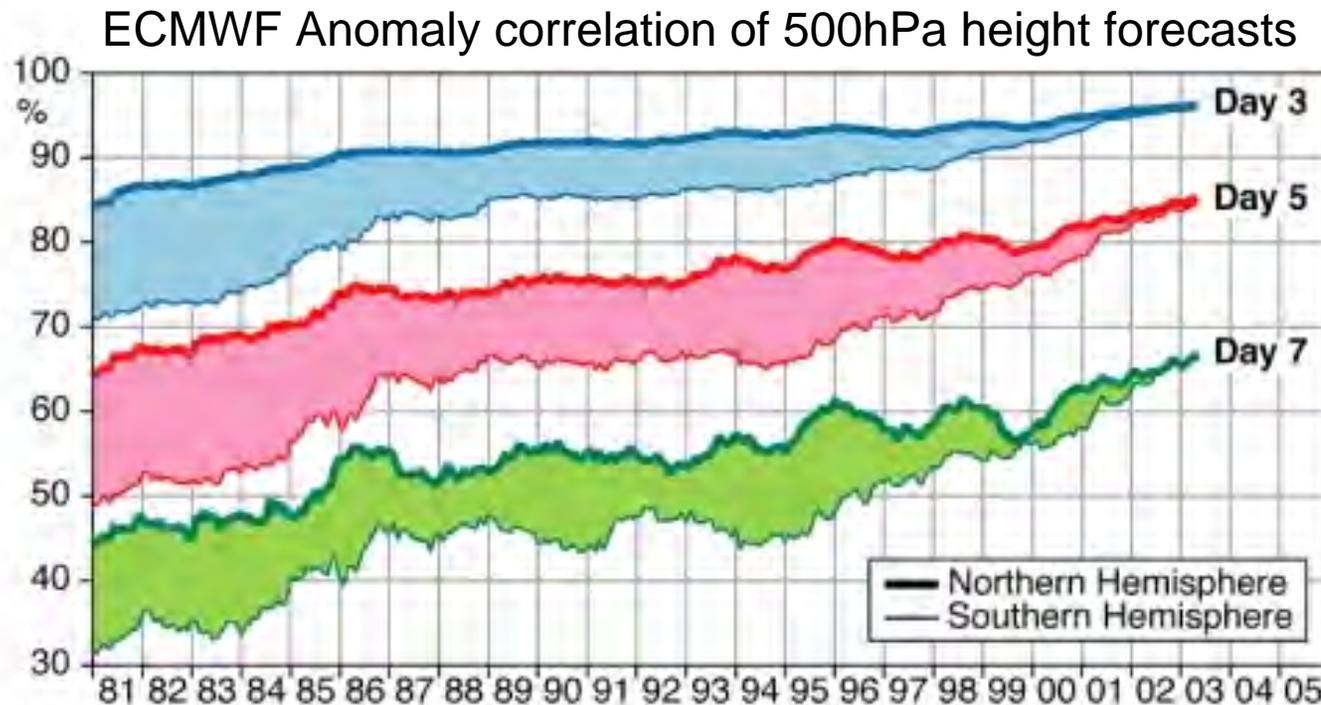
4.2

8.4

(x 100,000)

# Data Assimilation for Weather

Forecasts have improved substantially over the last decade due to better models, analysis techniques and observations  $\Rightarrow$  *satellite data*



Graphic courtesy ECMWF

# Data Assimilation for Weather

- ❑ Involves life-cycles of well-characterized waves in the initial conditions
- ❑ Quasi-linearity exploited with great success: incremental variational formulations, adjoint tools, EnKF (some flavors)
- ❑ Clouds, other moist processes accounted for crudely or ignored
- ❑ Assume that errors are random and normally distributed, even though not necessarily true (largest biases removed/reduced, but others ignored)
- ❑ Model error largely ignored up to now: this is slowly changing, but cost is high and knowledge of required error covariance lacking
- ❑ Consistency/balance between physical quantities...not so much
- ❑ Forecast viewed as an “amplifier” of analysis error (quality)

# Data Assimilation Beyond Weather

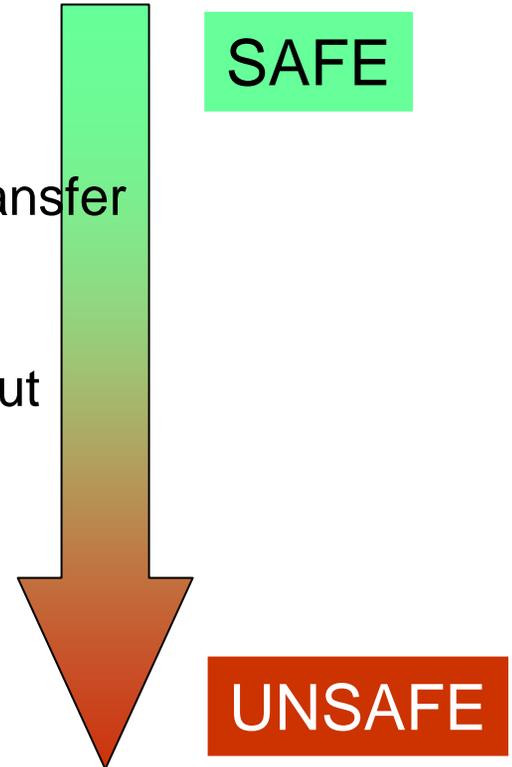
Interaction between waves and mean flow becomes important, consistency between small and large, fast and slow scales of motion required  $\Rightarrow$  e.g., *residual circulation*

- Improved estimates of waves in terms of prognostic variables does not necessarily lead to improved physical consistency:
  - ❖ Link to general circulation is through dissipation of waves
  - ❖ Link includes gravity waves (mostly filtered in NWP)
- Insertion of information from observations may introduce inconsistencies that are a significant part of the budget
- Clouds-precipitation, other physical processes become critical part of balance at longer time scales
- Breakdown of usual assumptions re: Gaussian, uncorrelated errors may become problematic ... affect means?
- Accounting for model error likely to be critical

# What are the applications we seek to address?

...and what is the level of confidence in our analyses?

- Short-term forecasting
- Observing system monitoring
- Mapping
- Better information for calculation of radiative transfer
- Better information for data use (and retrieval)
- Benefits from multivariate analysis
  - ❖ One observed variable has information about another observed variable
- Model and observation evaluation
- Estimates of unobserved quantities
- Unified data sets
- Estimates of budgets terms / transport
- Trends



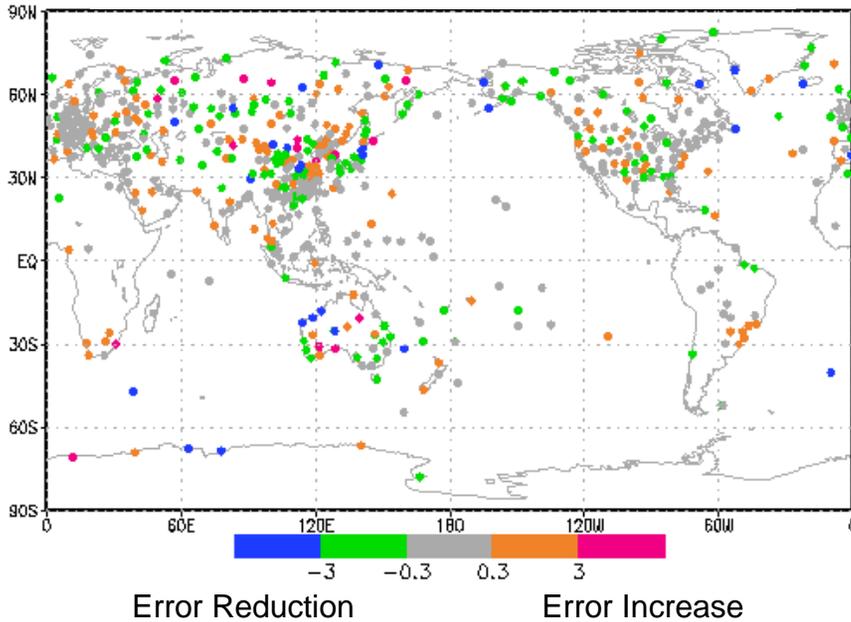
**Some things we have learned from the use of observations in GEOS-5...**

**...does any of it help us with weather↔climate?**

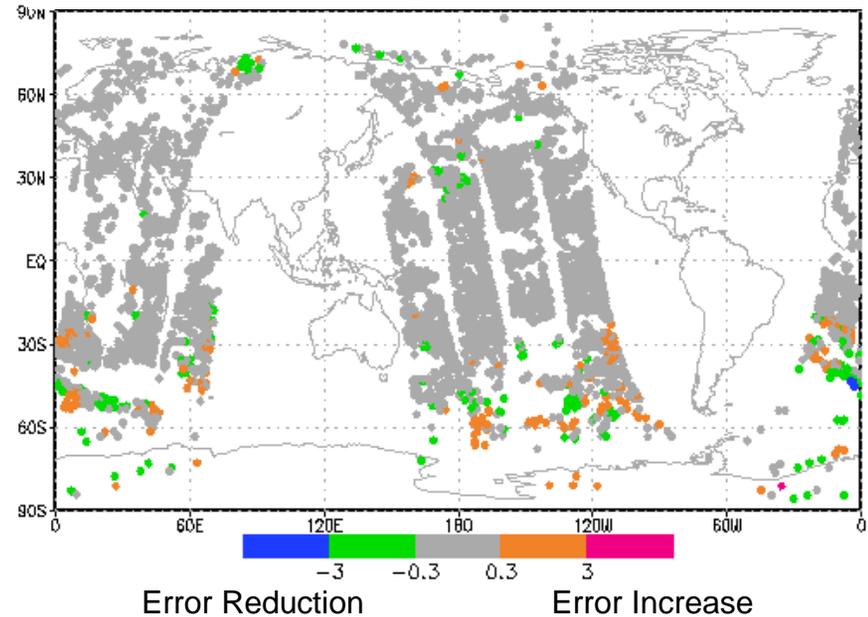
# Observation Impact on GEOS-5 24h Forecast Error

## GEOS-5 Adjoint Data Assimilation System

Impact of 500mb RAOB Temps  
10 July 2005 00z



Impact of AIRS Ch.221 Radiances  
10 July 2005 00z



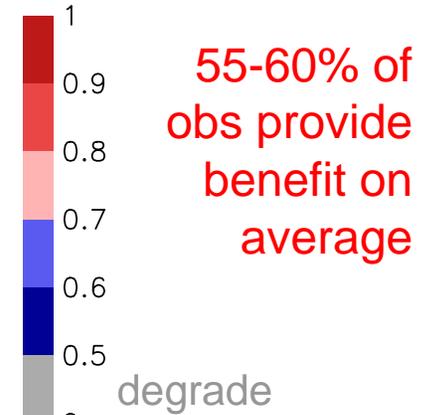
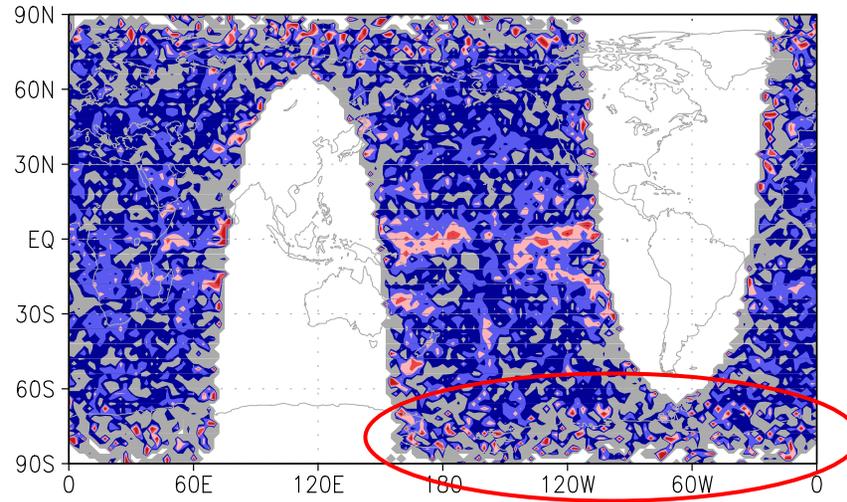
- ● Observations that **reduced** the 24h forecast error
- ● Observations that **increased** the 24h forecast error
- ● Observations that had small impact on 24h forecast error

**...a lot of the observations degrade the forecast (analysis)**

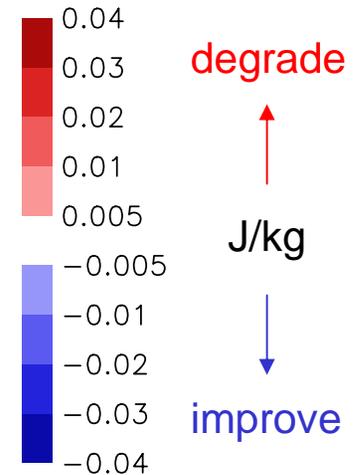
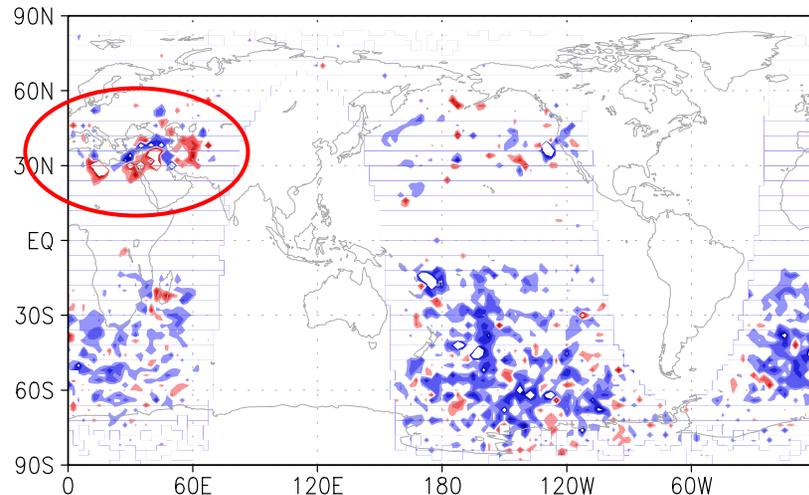
# Larger samples show regional patterns...processes?

July 2005 00z Totals

Fraction of **AIRS** observations that improve forecast



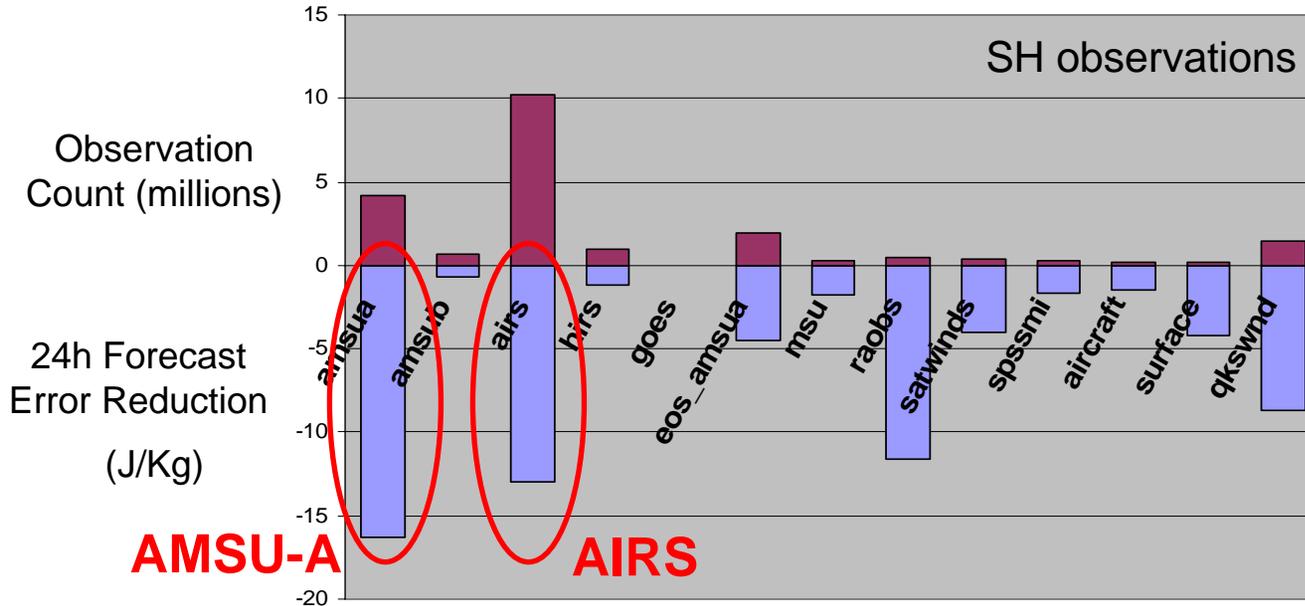
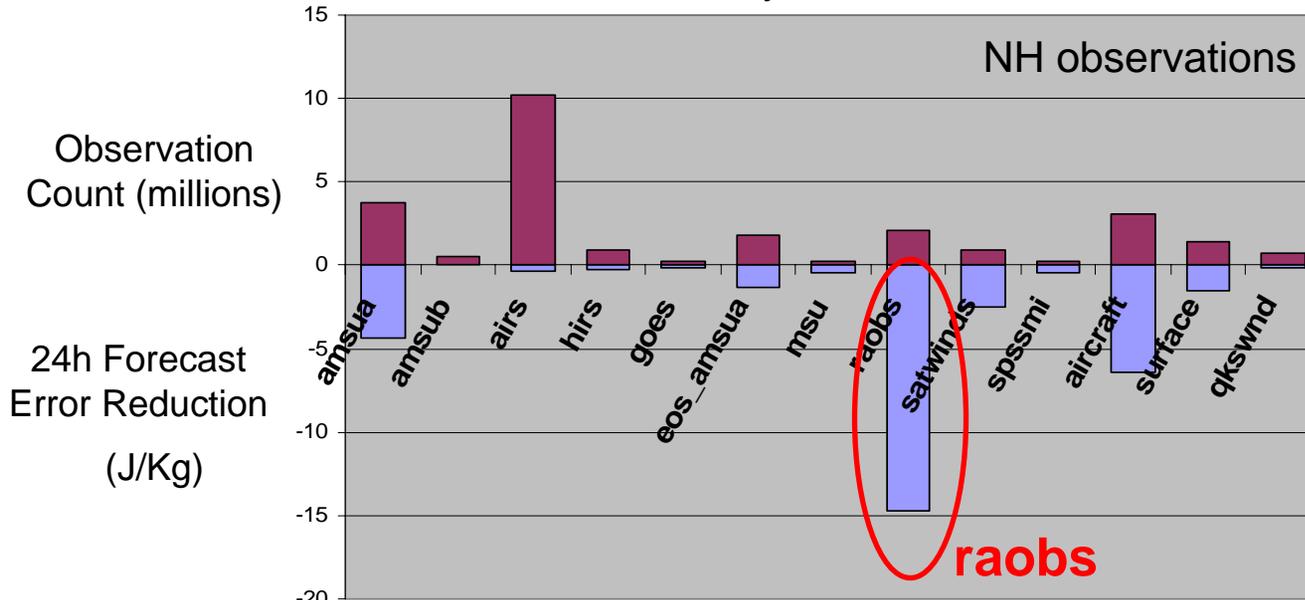
Impact of **AIRS** observations on forecast



*GEOS-5 Adjoint Data Assimilation System*

# Impacts of various observing systems

July 2005 Totals

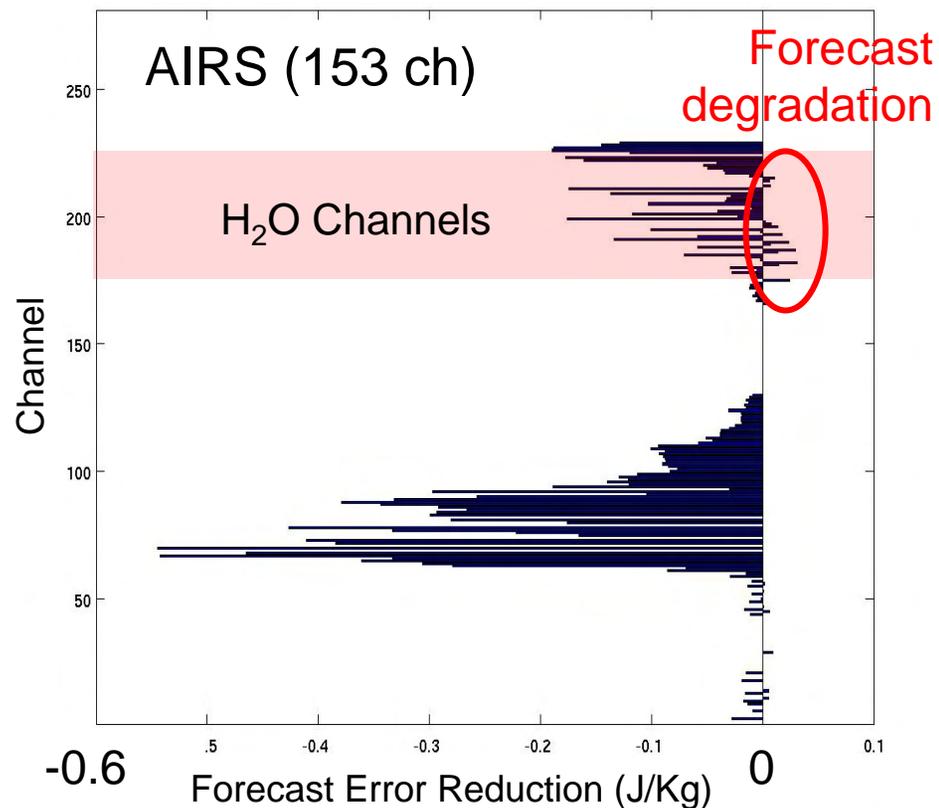
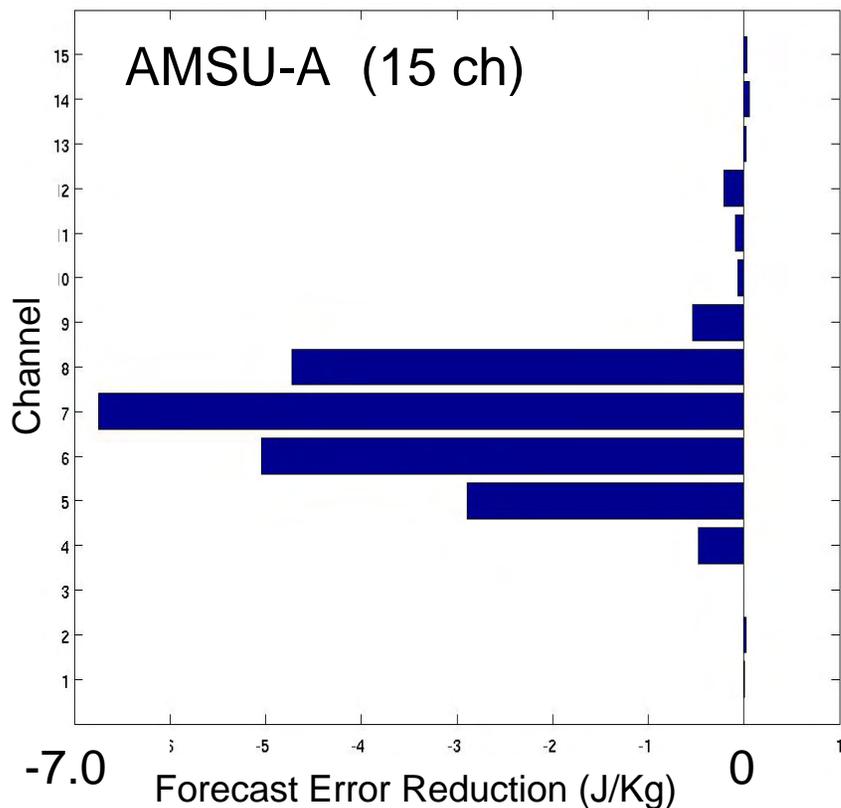


...all observing systems provide total monthly benefit

# Impacts of hyper-spectral observing systems

GEOS-5 Adjoint Data Assimilation System

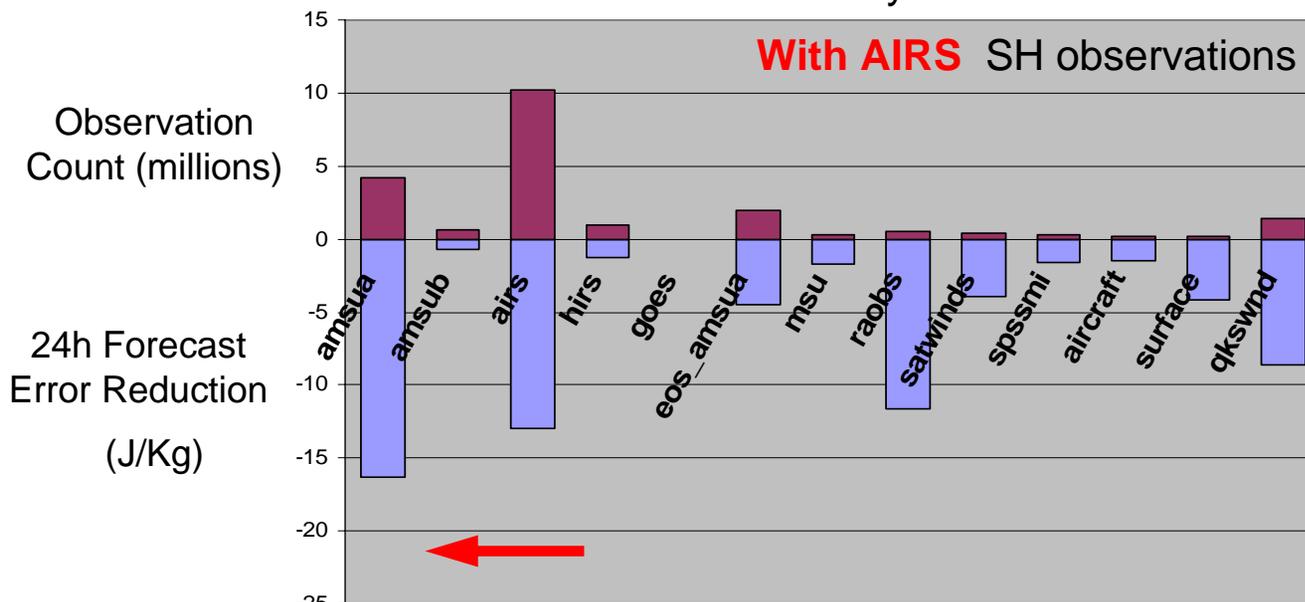
July 2005 00z Totals



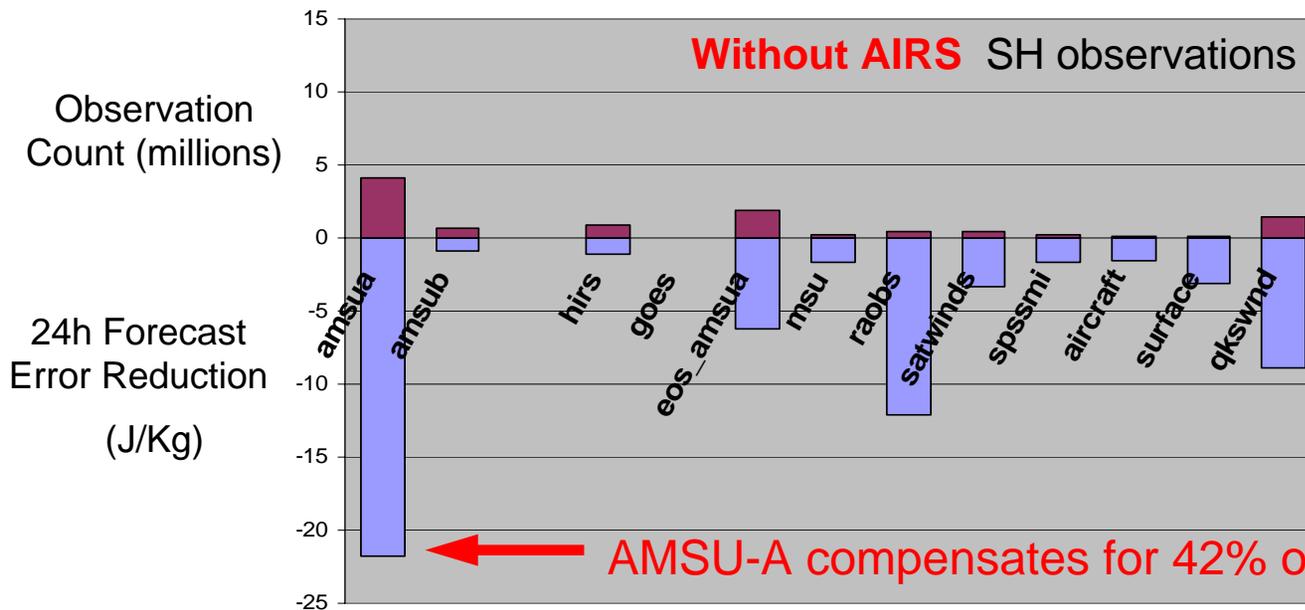
...a significant fraction of AIRS water vapor channels currently degrade the 24h forecast in GEOS-5...

# Data Redundancy...how much is too much?

GEOS-5 July 2005



Adjoint DAS applied to OSEs with and without AIRS

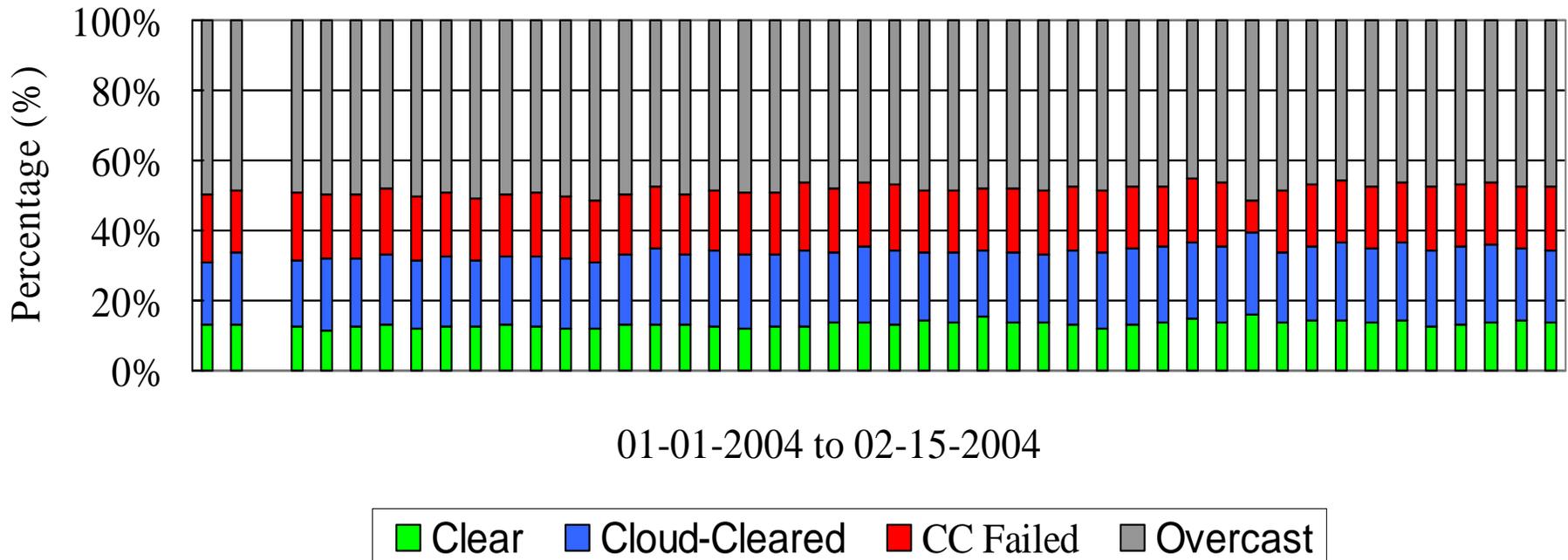


AMSU-A compensates for 42% of AIRS impact

## Limited use of AIRS radiances due to clouds

- ❑ Currently, only clear AIRS channels are used in most data assimilation systems.
- ❑ Direct use of cloudy data is currently prohibited by immense computational expense of infrared cloudy radiative transfer calculation
- ❑ Roughly 13% of AIRS FOVs are clear, and another 21% can be cloud-cleared successfully,....and the rest?

Global AIRS FOV Statistics

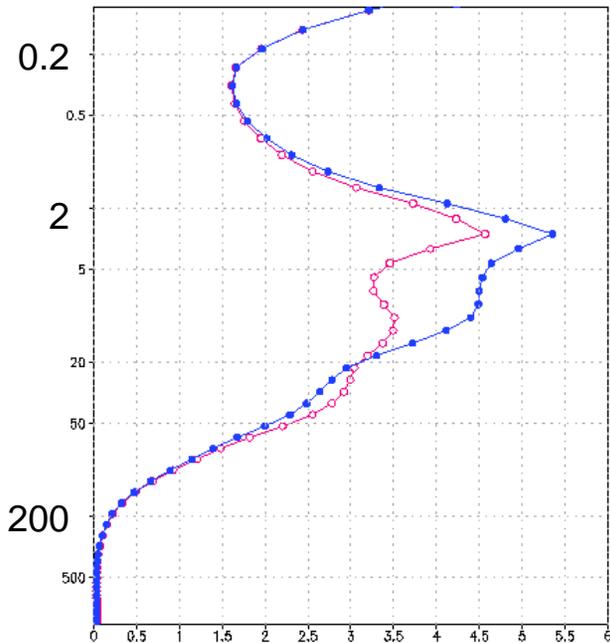


# Assimilation of clouds and precipitation: Evolution and challenges

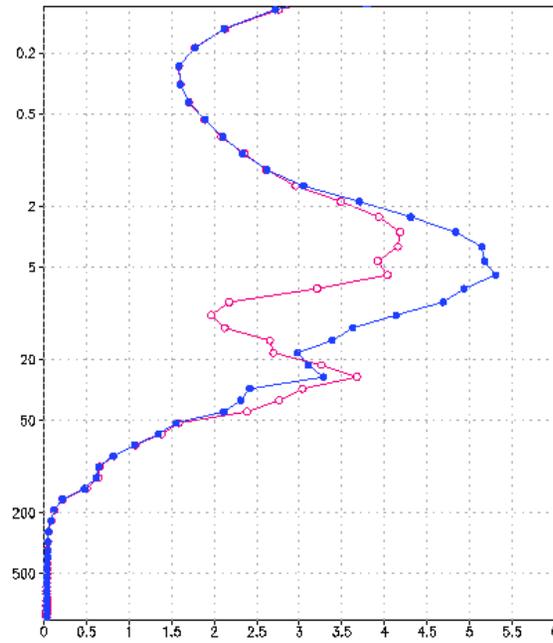
- ❑ Currently, the assimilation of satellite information involves approximately only 20% of the globe (ECMWF, 2003)
- ❑ The ability of atmospheric models to describe clouds and precipitation is slowly improving
- ❑ Several satellite observing systems already launched, with other(s) to follow (GPM)
- ❑ Issues:
  - ❖ Non-smooth processes
  - ❖ Representativeness errors
  - ❖ Predictability of cloudy-rainy systems
  - ❖ Radiative transfer and background error modeling

# AIRS and Polar Ozone (Polar Night)

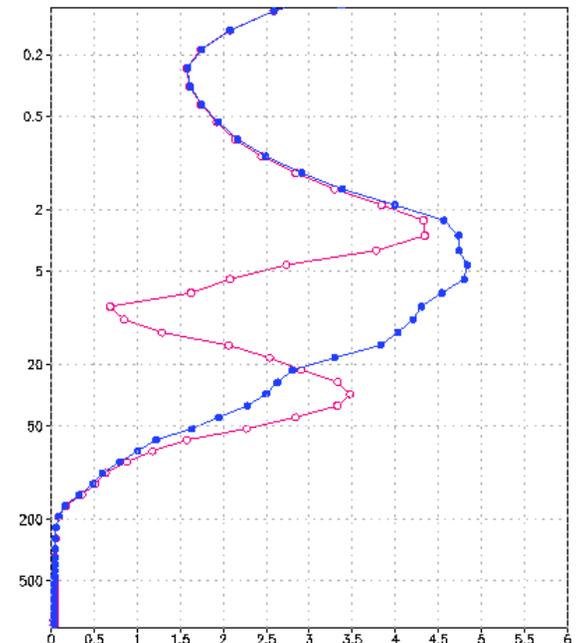
28 Aug 2004 18z



03 Sep 2004 18z



09 Sep 2004 18z



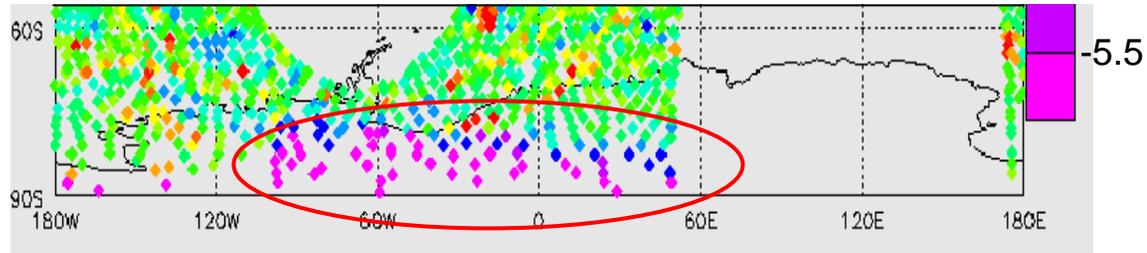
Runs start on August 27, 2004

- Ozone profiles at South Pole
- 152 AIRS channels used: **No ozone channels (1003-1285)**
- **Red** – other AIRS channels impact ozone
- **Blue** – impact of AIRS on ozone turned off

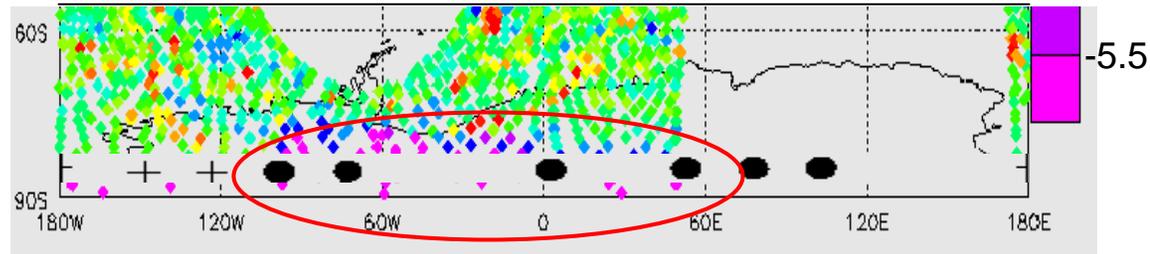
**GEOS-5 crashes in  
GCM on Sept. 10**

# AIRS O-F residuals in channel 191 (6.79 $\mu$ m, Water Vapor) 08 Sep 2004 00z

Large-negative  
O-F residuals...

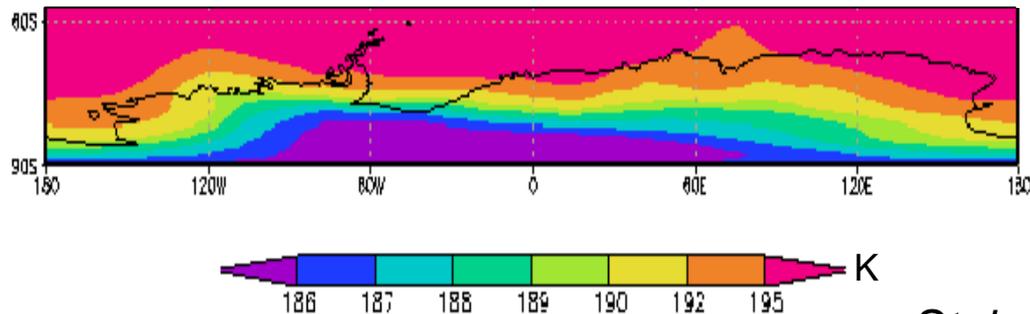


...may point to  
likely locations  
of PSCs



**POAM observations: + no thick PSCs, ● thick PSCs**

## Temperature at 100 hPa on 07 Sep 2004 18z

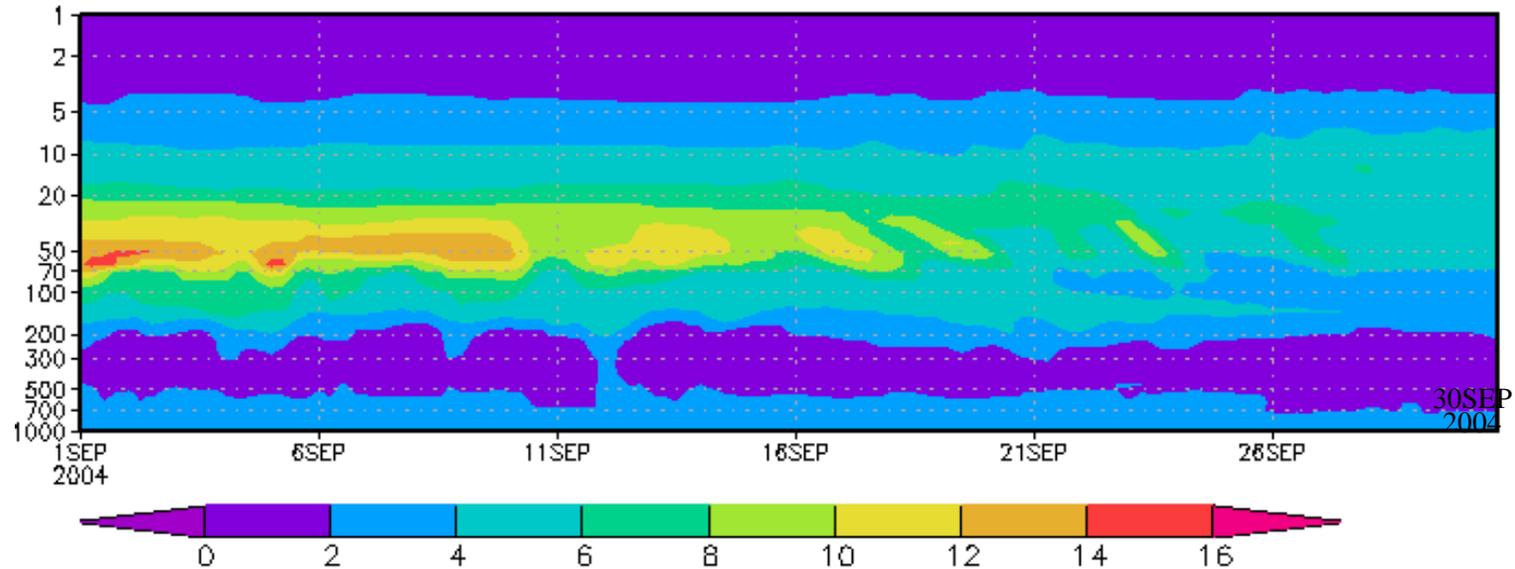


# General satellite data assimilation: Evolution and challenges

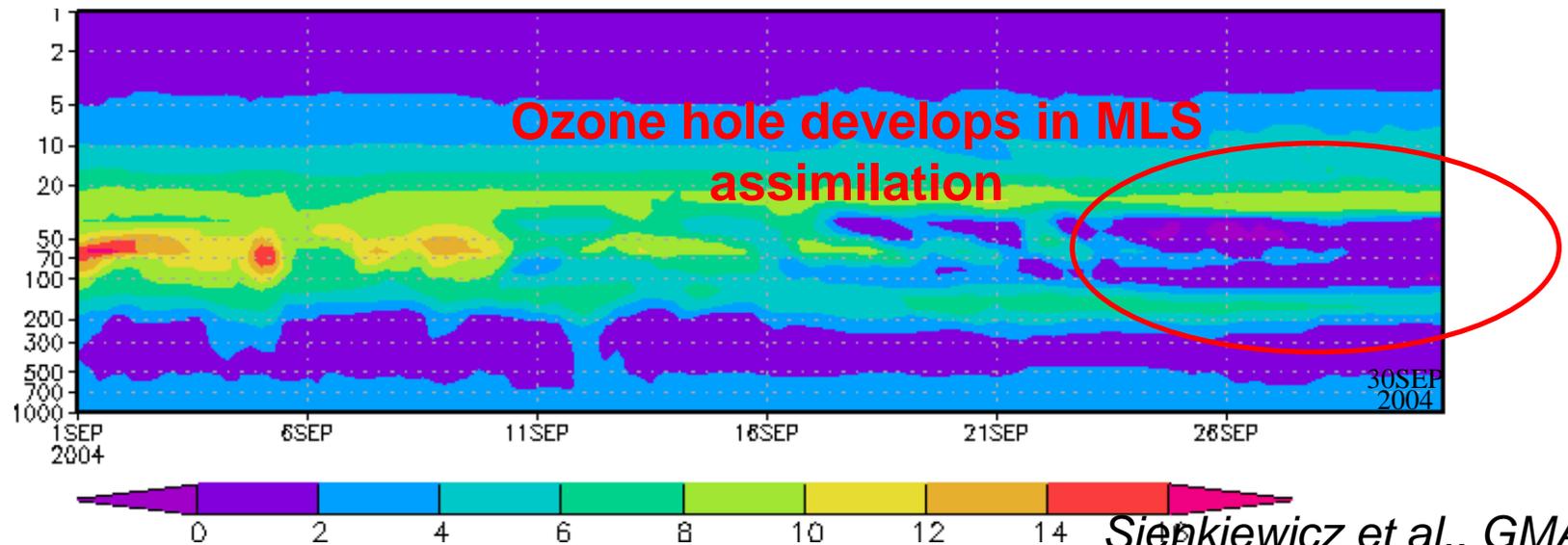
- Active technologies (lidars, radars) will provide detailed vertical information on hydrometeors (CloudSat, GPM), aerosols (Calipso), wind (ADM-AEOLUS) that data assimilation schemes should exploit
- Limb sounding techniques (MLS) raise new challenges for data assimilation. These should also contribute to improved vertical resolution of temperature, moisture, ozone
- Satellite data will become increasingly important for:
  - ❖ Land data assimilation
    - surface type, soil moisture...MODIS, AMSR, MSG, SMOS
  - ❖ Ocean data assimilation
    - SST, sea state, salinity, gravity, ocean color...TOPEX, JASON(2), ERS, SMOS...

# Assimilation of MLS Retrieved Ozone in GEOS-5

SBUV assimilation      South Pole ozone partial pressure (mPa)



MLS assimilation      South Pole ozone partial pressure (mPa)



# Some Weather↔Climate Data Assimilation Research Topics?

- ❑ Improved representation of moist physics and gravity waves
- ❑ Assimilation of cloud information (cloudy radiances)
- ❑ Inclusion of physical balances and constraints more appropriate for “climate” applications
- ❑ Improved radiative transfer/parameterizations over land and ice
- ❑ Need for stochastic physics / ensembles of analyses
- ❑ Inclusion of model error: weak constraint formulations, possibly over “long-windows”...20-30 days or longer?
- ❑ Assimilation system design modifications to mitigate shocks and improve/preserve propagation of information through the system
- ❑ Application/extension of NWP-derived diagnostic tools to other temporal and spatial scales. ...slow components?